Proposal # 2001-A-204 (Office Use Only)

PS	P Cover Sheet (Attach to the front of ea	ach propos	al)
Prop	oosal Title: Using Benthic Macroinve	rtebrate	Communities for assessment of adaptive
Apr	licant Name: Dr. Larry R. Brown	man	agement actions in Streams Supporting
Con	tact Name: Dr. Larry R. Brown		anadromous Salmonids
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Am	ount of funding requested: \$2,860,1	196	
Son	ne entities charge different costs dependent	on the sou	rce of the funds. If it is different for state or federal
func	ds list below.		
Stat	e cost	Fede	ral cost
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	Nonnative Invasive Species	<u> </u>	Local Watershed Stewardship
	Channel Dynamics/Sediment Transport		Environmental Education Special Stabe Species Surveys and Studies
	Flood Management Shallow Water Tidal/ Marsh Habitat		Special Stabs Species Surveys and Studies
	Contaminants		Fishery Monitoring, Assessment and Research Fish Screens
Ц	Containinants		FISH Sciedis
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Ind	icate the type of applicant (check only one	box):	
	State agency	14	Federal agency
	Public/Non-profit joint venture		Non-profit
	Local government/district		Tribes
	University	_	Private party
	Other:	_	1 7
		_	

Attachment HI: List of counties where sampling may occur.

Amador County

Butte County

Calaveras County

Colusa County

El Dorado County

Fresno County

Glenn County

Madera County

'Mariposa County

Merced County

Nevada County

Placer County

Sacramento County

San Joaquin County Shasta County

Stanislaus County

Sutter County

Tehama County

Tuolumne County

Yolo County

Yuba County

Indi	icate the primary species which the proj	posal addre	sses (check all that apply):
	San Joaquin and East-side Delta tributarie	s fall-run chi	inook salmon
	Winter-run chinook salmon		Spring-run chinook salmon
	Late-fall run chinook salmon	口	Fall-run chinook salmon
	Delta smelt		Longfii smelt
	Splittail		Steelhead trout
	Green sturgeon	_	Striped bass
	White Sturgeon		All chinook species
	Waterfowl and Shorebirds	₩.	All anadromous salmonids
	Migratory birds		American shad
	Other listed T/E species:		American shad
Ind	icate the type of project (check only one	e box):	
K	Research/Monitoring		Watershed Planning
	Pilot/Demo Project		Education
	Full-scale Implementation	_	
k th	is a next-phase of an ongoing project?	Yes	No ×
	ve you received funding from CALFED before?	Yes _	
If ye	es, list project title and CALFED number		
Hav	ve you received funding from CVPIA before?	Yes_	No <u>×</u>
If ye	s, list CVPIA program providing funding, project	นีซ์ and CVPI	A number (if applicable):
By	entity or organization); andThe person submitting the application has re	proposal; submit the app ad and understaives any and	all rights to privacy and confidentiality of the proposal on
	Larry R. Brown		
Z Sig	aug R. Brown		

B. Executive Summary: Using Benthic Macroinvertebrate Communities for Assessment of Adaptive Management Actions in Streams Supporting Anadromous Salmonids

Amount requested: \$2,860,496

Applicant: Dr. Larry R. Brown, U.S. Geological Survey, Placer Hall, 6000 J Street, Sacramento, CA 95819-6129, Phone: 916-278-3098, FAX: 916-278-3071, e-mail: lrbrown@uscs.gov Participant: Jason May, same address, Phone: 916-278-3079, FAX: 916-278-3071, e-mail: jasonmav@usgs.gov

Human activities have altered the hydrology and consequently the ecology of Central Valley streams and rivers, often with negative effects on anadromous salmonids. CALFED proposes to restore some improved level of ecological function to such streams through various adaptive management actions, including manipulation of flow regimes. However, there is presently no measure of stream ecological condition available for the assessment of the success of such actions other than monitoring of chinook salmon populations on some streams. Chinook salmon and other anadromous fish are not sufficient as the single indicator of stream ecological condition because they spend a considerable portion of their life cycle outside of the stream ecosystem. Stream macroinvertebrates, which inhabit the stream for their entire life cycle, may be the best available indicator of stream condition. Monitoring of stream macroinvertebrate communities is a proven and well-established technique in other areas of the United States and various studies in California indicate that the method will work in Central Valley streams.

The major objective of this proposal is to document the present condition of macroinvertebrate communities in Central Valley streams that support populations of anadromous salmonids and are likely candidates for stream protection or restoration actions. This objective will be achieved by sampling 116 sites on the San Joaquin and Sacramento rivers and 16 tributary streams for each of three years. In addition to sampling the macroinvertebrates, detailed habitat and water quality measurements will be done at each site. These data will allow for testing of three hypotheses implicit in the major objective:

- 1. Stream macroinvertebrate communities are accurate indicators of ecological conditions in Central Valley streams.
- 2. Stream macroinvertebrates can be used to compare ecological conditions between streams.
- 3. Stream macroinvertebrate communities are responsive to changes in ecological conditions.

Successful adaptive management requires assessment tools to determine if adaptive management actions are having the desired effects. Studies conducted outside of California and within the Central Valley show that stream macroinvertebrate communities respond to environmental conditions. This study will demonstrate the utility of monitoring stream macroinvertebrate communities as a tool for assessment of flow regime modifications and other stream restoration actions. The results of this study can also serve as baseline data for existing conditions in the streams sampled and as a regional characterization of existing ecological conditions that can be used to put site-specific macroinvertebrate sampling results into a regional context. The goals of CALFED and biological principles of CVPIA recognize that the restoration and maintenance of streams in good ecological condition is desirable for supporting healthy populations of anadromous fishes and other organisms dependent on stream habitats. Monitoring stream macroinvertebrate communities may be a useful indicator for determining whether management actions, in fact, improve stream condition or if other actions are required.

C. Project Description

C1. Statement of the Problem

Cla. Problem: Human activities have altered hydrologic processes in the Bay-Delta ecosystem and these changes have had negative impacts on stream ecosystems, including special-status fish species such as chinook salmon and steelhead (CALFED 2000, PSP Section III, page 23). Consideration of the economical and social realities of water allocation in California has led CALFED to suggest that improvement of ecological conditions will not be attained by restoration of pre-disturbance natural flow regimes but by mimicking historical peak flows and other features of the natural hydrograph to restore the highest measure of ecological function possible with the resources (water) available (CALFED 2000, PSP Section III, page 24). Given the considerable uncertainty involved in such adaptive management projects CALFED has requested additional research, monitoring, modeling, planning and feasibility studies to aid CALFED planning efforts (CALFED 2000, PSP Section III, pages 24-25). One aspect of adaptive management implied in the list, but not explicitly identified, is the need to establish methods of assessing the success of adaptive management actions.

Although CALFED is approaching stream restoration from the perspective of physical, geomorphic processes, the success of such restoration will almost certainly not be judged on the basis of physical measurements. The CALFED Ecosystem Restoration Program Strategic Goals all involve protection or restoration of ecological communities, habitats, or particular groups of organisms (CALFED 2000, PSP Section III, pages 17-21). It seems likely that in the absence of new monitoring programs, success of stream restoration efforts will be based largely on the responses of presently monitored chinook salmon populations. Without discussing the many logistic difficulties involved with monitoring chinook salmon in Central Valley streams, chinook salmon are not appropriate as the sole assessment end point or indicator of stream ecological function. Anadromous fishes (by definition) do not spend the entire year in Central Valley streams, spending a considerable portion of their life cycle outside of the stream ecosystem (Fig. 1). Therefore, salmon populations will be affected by ecological conditions in habitats other than the streams of interest. Other habitats include streams confluent with the stream of interest, the Delta, Suisun Bay, San Francisco Bay, and the Pacific Ocean. It is conceivable that stream restoration actions could significantly improve stream ecological conditions with chinook salmon showing no measurable response because of conditions in the Delta, San Francisco Bay, or the Pacific Ocean. In this case, stream restoration could be declared a failure (and a waste of considerable funds) based on chinook salmon populations. Conversely, CALFED will likely be implementing actions in multiple rivers and the Delta at the same time so that salmon populations in a particular stream may increase in response to downstream actions even though the ecological conditions in the stream are not substantially improved, possibly at considerable cost in water. Assessment of the success of stream restoration actions should include evaluations based on organisms that are resident in the stream for the entire year.

Development of stream bioassessment procedures has generally focused on one of three groups of organisms—fish (e.g. Karr 1981, Fausch et al. 1984, Hughes and Gammon 1987, Barbour et al. 1999), benthic macroinvertebrates (e.g. Fore et al. 1996, Barbour et al. 1999), or benthic algae (e.g. Barbour et al. 1999, Stevinson and Pan 1999, Hill et. al. 2000). In Central Valley streams, monitoring of fishes is conceptually attractive because juveniles of several special-status anadromous fishes (steelhead and spring-run salmon) may spend at least one entire

year in streams, protection and conservation of native fish communities is a CALFED goal, and there are previous studies of how resident stream fishes respond to variation in flow and other environmental conditions (Brown and Moyle 1993, Brown 2000, Marchetti and Moyle, in press, Brown and May, U.S. Geological Survey, written communication). However, fish bioassessments require capture of fishes for identification to species. Capturing fish can cause physiological stress and, in some cases, mortality. Capture of special-status species requires special permits and regulatory agencies are sensitive to studies that can cause harm to the species they protect, resulting in restrictions on sampling methods, locations, and times.

Collection of benthic algae requires no special permits; however, identification of algae species is a very specialized field and there are relatively few laboratories that do such analyses. Also, relatively little is known about benthic algae communities of Central Valley streams (Brown 1997).

Collecting permit. There are a number of existing laboratories that can successfully process samples and identify California stream benthic macroinvertebrates. There is a growing body of information regarding Central Valley stream macroinvertebrates, some of it published (Leland and Fend 1998, Brown and May, in press) and some in unpublished reports (Jim Harrington, California Department of Fish and Game, personal communication). Monitoring of stream benthic macroinvertebrate communities, alone or in combination with monitoring of other stream biota, would be a useful tool for assessing the response of stream ecosystems to manipulations of flow regimes and other restoration activities.

CALFED references the value of monitoring stream benthic macroinvertebrates to assess individual projects at several points in Section III of the PSP (CALFED 2000); however, regional monitoring can serve as a framework for comparisons of ecological conditions within and between streams and to characterize existing conditions in California streams so that changes can be documented (Fig. 2). Further, such a framework will be useful when considering the regional importance of responses observed during site-specific monitoring.

The major objective of this proposal is to document, using standard protocols, the present condition of macroinvertebrate communities in Central Valley streams that support populations of anadromous salmonids and are likely candidates for stream protection or restoration actions. This will allow comparisons of ecological conditions within and between streams and provide a point of reference so that the ecological consequences of flow manipulation (or other stream restoration actions) can be assessed. To achieve this major objective several sub-objectives should be achieved.

- 1. Establish and apply standardized protocols for sampling of stream macroinvertebrates in wadeable and non-wadeable streams. Calibrate the two protocols to the extent possible so that wadeable and non-wadeable sites can be compared.
- 2. Measure habitat and water quality variables at each site sampled so that patterns in stream macroinvertebrate community structure can be related to environmental conditions.
- 3. Conduct sampling at the same sites over three years so that the responses of the macroinvertebrate communities to variability in flow or other environmental conditions can be documented to the extent possible.
- 4. Test various single and combined measures (metrics or indicators) of stream macroinvertebrate community structure that could be used to construct an index of stream condition.

Clb. Conceptual model: General conceptual models for understanding community structure of stream macroinvertebrate communities already exist. The River Continuum Concept (Vannote et al. 1980) is a conceptual model of how stream macroinvertebrate communities change in response to ecological gradients from small headwater streams to large rivers. The River Continuum Concept includes effects of tributary streams but does not explicitly consider human perturbations. The Serial Discontinuity Hypothesis specifically addresses the potential effects of dams and reservoirs on patterns expected under the River Continuum Concept (Ward,and Stanford 1983, Ward and Stanford 1995). Basically, by disturbing energy and material flux from upstream to downstream, dams reset the River Continuum to a different state followed by gradual recovery of natural processes and community structure in downstream stream reaches. Conceptual frameworks for evaluating the usefulness of metrics and constructing indexes of stream condition are also well developed (Hughes et al. 1998, Karr and Chu 1998). A conceptual model for how these various existing conceptual models will be utilized in the present project is presented in Figures 1 and 2.

Clc. Hypotheses being tested: The major hypothesis being tested is contained within the major objective of the study stated in section Cla. This objective can be restated as the following hypotheses.

- 1. Stream macroinvertebrate communities are accurate indicators of ecological conditions in Central Valley streams that support populations of anadromous salmonids. Data requirements for this hypothesis are abundances of stream macroinvertebrates and measures of habitat and chemical conditions at each site sampled.
- 2. Stream macroinvertebrate communities can be used to compare ecological conditions between streams. Data requirements are the same as for hypothesis #1 but this hypothesis also requires that all data are collected from all sites on all streams with standardized protocols.
- 3. Stream macroinvertebrate communities are responsive to changes in environmental conditions and can be used to assess the ecological consequences of flow manipulation (or other stream restoration actions). This hypothesis requires collecting data across a range of environmental variability that encompasses the environmental conditions anticipated to occur as a result of stream restoration actions. Because this proposal does not include actual manipulation of flow regimes we propose to address this hypothesis by sampling multiple sites on a wide array of anadromous fish streams in each of three years. Because water management strategies and precipitation vary from year to year and from stream to stream we should capture the responses of stream macroinvertebrate communities to a wide range of environmental conditions but cannot guarantee that we will capture the entire range of environmental conditions that will occur under a particular adaptive management design.

CALFED Goals 1, 2, and 4 and the biological principles of the CVPIA all identify restoration of ecological functions as the mechanism for enhancing at-risk species and as a desirable goal in itself. Neither plan specifically identifies a useful biological indicator of ecological conditions in streams. This proposal will establish relationships between physical and chemical conditions in streams that will indicate if monitoring stream macroinvertebrate communities can be used as an indicator of environmental conditions in streams. Also, the structure of macroinvertebrate communities, through analysis of trophic structure (feeding

relationships), provides useful information on energy transfer and productivity of stream ecosystems.

Cld. Adaptive Management: This proposal relates to several steps in the adaptive management process (CALFED 2000, PSP Section III, Figure 2, page 15). In most respects this project can be considered targeted research to improve understanding of the effects of flow manipulations and other stream restoration actions on stream ecosystems. However, it can also serve as a pilot design for a stream monitoring network for assessing the effectiveness of stream restoration actions in improving ecological conditions. In this latter context, this project will provide a database of initial conditions for anadromous fish streams likely to be selected for stream restoration actions.

For reason already discussed in section C1a of this proposal (also see Fig. 1), monitoring of anadromous fishes should not be the only biological indicator employed in assessing the success of stream restoration actions, including manipulation of **flow** regimes. Benthic macroinvertebrates would serve as a better indicator for monitoring because they reside in the stream through the entire year. Consequently, stream macroinvertebrates will allow more accurate assessment of restoration of ecological processes that occur throughout the year. It is also worth noting that adults and larvae of stream macroinvertebrates (primarily insects) serve as an important food source for young fish of all species.

The choice of correct indicators is critical to the adaptive management process (CALFED 2000, PSP Section III, Figure 2). The response of the indicator determines whether an adaptive management action should be continued, modified, or curtailed. We suggest a system-wide sampling program rather than a site specific or pilot program for several reasons. First, the protocols we propose to use have already been used successfully in California (Brown and May, in press, Jim Harrington, California Department of Fish and Game, written communications). Second, published studies already indicate that California Central Valley stream benthic macroinvertebrate communities are responsive to environmental conditions (Leland and Fend 1998, Brown and May in press). Finally, a system-wide study using standard techniques will provide a baseline useful to subsequent site-specific studies and provide an incentive for adoption of the protocols as standard practice for CALFED projects. Only by using the same protocols will results from different studies be comparable across space and time.

C2. Proposed Scope of Work

C2a. Location and/or Geographic Boundaries of the Project: The proposed study area is shown in Figure 3. The map provided (Fig. 3) is not at any of the scales requested in the PSP because of the extent of the proposed study area and because specific sites have not been selected. When sites have been selected they will be mapped on USGS quad maps (1:24,000) and copies can be provided to CALFED. Because this project involves multiple sites that have not all been selected, we do not provide a "centroid" or equivalent at this time as requested in the PSP. Such a centroid will be supplied pending final site selection and a **CALFED** decision that such a measure is desirable for this type of multiple-siteresearch/monitoring project.

This project potentially includes sampling activities in 21 counties (Amador, Butte, Calaveras, Colusa, El Dorado, Fresno, Glenn, Madera, Mariposa, Merced, Nevada, Placer, Sacramento, San Joaquin, Shasta, Stanislaus, Sutter, Tehama, Tuolumne, Yolo, and Yuba). Ecozones (specific streams or reaches in parentheses) potentially included in the project area are

3-Sacramento River (Keswick Dam to Sacramento), 4-North Sacramento Valley (Clear Creek and Battle Creek), 5-Cottonwood Creek, 7-Butte Basin (Mill Creek, Deer Creek, Big Chico Creek, Butte Creek), 8-Feather River and Sutter Bypass (Feather River, Yuba River, and Bear River), 9-American River Basin (American River), 11-Eastside Delta Tributaries (Cosumnes River, Mokelumne River), 12-San Joaquin River (Friant Dam to Vemalis), 13-East San Joaquin (Stanislaus River, Tuolumne River, Merced River) (Fig. 3).

C2b. Approach: Sampling sites will be located in each stream to capture longitudinal gradients in stream conditions and stream macroinvertebrate communities (Fig. 2). Ten sites each will be located on the mainstem Sacramento River and San Joaquin River. Six sites each will be located on each of the sixteen tributary streams identified in section C2a. There are a total of 116 proposed sites. On streams with reservoirs, one site will be located just upstream (minimum 500 m) of the maximum extent of inundation to help establish the effect of the reservoir on the River Continuum Concept (Vannote et al. 1980). When possible, sites sampled in previous studies will be selected (e.g. Brown 2000, Brown and May, in press). All sites will be established as official USGS sampling sites and assigned a unique numerical code.

The 116 sites will be divided among 3 sampling teams of 3 persons each. At each site, stream macroinvertebrates will be collected using standard protocols. When present, riffles will be sampled using The California State Bioassessment Procedure (CSBP, Harrington 1999). At sites without riffles, large woody debris (snags) will be sampled as described in Cuffney et al. (1993) and Brown and May (in press), except that 3 replicate samples will be collected at each site as required by the CSBP. All sampling nets will have 500 micron mesh. Samples are preserved in 10 percent formalin. Macroinvertebrates will be collected during baseflow conditions in August and September of each of three-consequtive years. At sites where both habitat types exist, both will be sampled up to a maximum of 24 sites (20% of the total number of sites).

Habitat measurements will be taken at each site (Fitzpatrick 1998). Midstream dip samples will be analyzed for dissolved nutrients and major ions at the U.S. Geological Survey (USGS), National Water Quality Laboratory (NWQL). Basic field parameters will be measured at each site including, water temperature, specific conductance, pH, and dissolved oxygen. All the streams are gauged at one or more locations and instantaneous discharges will be measured at all wadeable sites. Instantaneous discharges at some large river sites will be estimated based on daily discharges measured at the nearest established stream gauge. Continuous temperature monitors will be installed at one or more sites on each stream, if temperature is not already being recorded as part of other studies.

Macroinvertebrate samples will be processed at the NWQL. Processing is based on a 300-organism fixed count. Organisms will be classified to the lowest practical taxon, usually genus for well-known groups such as mayflies (Ephemeroptera), caddisflies (Trichoptera), and stoneflies (Plecoptera), but some higher taxonomic group for some of the lesser known or difficult to identify taxa, such as water mites (Acari) or midges (Chironomidae). A USGS Openfile Report documenting all standard operating and quality assurance procedures is awaiting **firal** approval and should be available by summer 2000. Data on macroinvertebrate abundances are entered into an EXCEL data form. The electronic data forms can then be compiled into a database. The data will then be used for a variety of statistical analyses.

Statistical analyses will include hierarchical clustering, simple correlation, indirect gradient analysis and direct gradient analysis. Hierarchical clustering will be utilized to group

sites on the basis of similarities in macroinvertebrate communities or environmental conditions. Analysis of variance will be utilized to verify that the clusters (groups) actually differ. Indirect gradient analysis includes ordination of the biotic community using techniques such as correspondence analysis or detrended correspondence analysis and then relating the gradients in the biotic communities to gradients in physical conditions using simple correlations with single environmental variables or composite environmental variables derived using techniques such as principal components analysis. Direct gradient analysis is similar but utilizes more complex statistical techniques, such as canonical correspondence analysis (ter Braak 1986), to select a group of independent environmental variables that best explain the variation in the macroinvertebrate community. The utility of various metrics will be assessed using similar techniques but substituting metric scores for macroinvertebrate abundance data. These or similar techniques have been successfully used in previous analyses of Central Valley streams (Brown 200, Brown and May, in press, Leland and Fend 1998, Brown et al. 1999).

The identification of metrics responsive to environmental gradients and perturbations using the above techniques is the first step in constructing an index for identifying sites that are in excellent condition or are degraded. We do not propose to develop an index with explicit scoring criteria because subjective judgments about definitions of excellent and degraded conditions are required. For example, is the baseline for an excellent ranking a pristine undammed stream or the best that can be expected with a dam in place? Decisions regarding the designation of such a baseline should likely be pursued through a process including stakeholder involvement.

Analysis of the three replicates collected at each site in the above analyses will indicate the sensitivity of the protocols to within-site variability., Analysis of three years of collections will provide some measure of the annual environmental and biotic variability that can be expected at a site. Comparisons between riffle and snag samples collected at the same sites will determine if direct comparisons are appropriate, or if calibration is necessary or possible. The alpha level for all statistical procedures will be 0.05 or lower.

During one of the three years, a subset of sites will be sampled in conjunction with the USGS, National Water Quality Assessment (NAWQA) study of the San Joaquin River Basin. NAWQA will collect the same habitat data (Fitzpatrick et al. 1998) plus fish relative abundance (Meador et al. 1993), quantitative and qualitative macroinvertebrate samples (Cuffney et al. 1993), and qualitative and quantitative algae samples (Porter et al. 1993). Comparisons of results with NAWQA results will determine how well our protocols reflect environmental conditions in comparison to other methods for collecting macroinvertebrates or other taxa (fish and algae).

Compilation and analysis of unpublished data from other programs will provide additional information addressing all three hypotheses. Within the study area we are presently aware of two data sets available for analyses. The California Department of Fish and Game has two years of fish and benthic macroinvertebrate data from the Cosumnes River drainage. The Sacramento River Watershed Program has sponsored collection of macroinvertebrate and some algae data from a number of sites in the Sacramento River drainage. Other data sets may also be available.

C2c. Monitoring and Assessment Plans: This section does not apply to this proposal but similar information is provided in the previous section.

C2d. Data Handling and **Storage:** As described in section C2b, all macroinvertebrate abundance data are entered into EXCEL spreadsheets that can be compiled into a database in the Sacramento office. The NWQL will also maintain copies of the original data in their database. Habitat data will be entered into EXCEL spreadsheets by USGS. The NWQL will automatically enter nutrient and major ion data into the USGS water quality database. These data will be downloaded and incorporated into EXCEL spreadsheets. All databases will include the unique USGS station identifier to ensure accurate cross-referencing between databases or compilation of data into a single database. All data will be available from USGS in Sacramento upon request.

C2e. Expected Products/Outcomes: Two important outcomes are expected from this project. First, by testing relationships between invertebrates and environmental conditions and determining a series of metrics summarizing these relationships, the project establishes the groundwork for a standardized bioassessment procedure for Central Valley streams supporting anadromous salmonids. The detailed habitat and water quality data collected as part of this project will no longer be required (although a simplified set of such measures will remain). Ecological conditions will be inferred directly from the macroinvertebrate data with more detailed follow-up studies limited to perceived problem areas. Conversely, if no such relationships can be established, then the utility of monitoring stream macroinvertebrates as a measure of ecological conditions will be brought into question and monitoring efforts and funds diverted into other areas.

Second, because of the extensive geographic coverage and multi-year design of this study, a useful database will be established for comparisons of ecological conditions among and within streams. Although the range of conditions represented in the database will depend on the annual variation within the three years of sampling, at present there is no such database for any of the ecological communities supported by Central Valley streams with anadromous fish populations.

We anticipate a minimum of one oral presentation per year at a national meeting such as the Annual Meeting of the North American Benthological Society or a local meeting such as the CALFED Science Conference. Annual reports documenting progress to date and data results will be submitted. Results from the first year will consist of analyses of existing data sets. In subsequent years, new data will be available. Preliminary results and interpretation will be presented as one or more seminars. A final interpretive report will also be prepared. We anticipate that some results will be published in peer-reviewed journals or as USGS reports throughout the duration of the project.

C2f. Work Schedule: The work schedule is presented in Table 1. CALFED funding is proposed to begin in federal fiscal year (FY) 2002. Site selection will occur in FY2001, at USGS expense. It was impractical to identify sites and obtain permission for access before the submission of this proposal because the number of sites proposed (116) precluded spending significant time and funds without some expectation of project funding. Failure to obtain access permission for all the proposed sites before FY 2002 would be grounds for CALFED to reduce funding to match the number of sites to be sampled or cancellation of the project.

Task 1, compilation and analysis of existing data, is not essential to completion of the other tasks; however, these analyses will be of considerable interest. California Department of Fish and Game has two years of data for fish and macroinvertebrate communities in the

Table 1. Proposed work schedule

Table 1. Proposed work schedule.			-				_				_	_	_	100			125	70	
	Oct-Dec 01	Jan-Mar 02	Apr-Jun 02	Jul-Sep 02	Oct-Dec 02	an-Mar 03	Apr-Jun 03	ul-Sep 03	Oct-Dec 03	Jan-Mar 04	pr-Jun 04	Jul-Sep 04	Oct-Dec 04		Apr-Jun 05	Jul-Sep 05	Oct-Dec 05	Jan-Mar 06	Apr-Jun 06
TASK	0	12	٩	7	0	ي	⋖		0	3	. 4	7	0	Š	٩		0	ب	4
1 Compile and analyze existing data	х	Х	Χ	х		Į.		L.										_	
2 Field sampling			Χ	Х	Ĺ.		х	Х			Х	Х		L.,			L		\Box
3 Macroinvertebrate sample analysis			L	Х	Х	Х		X	Х	X		Х	Х	X_	Х				
4 Macroinvertebrate data input				Х	Х	Х		Х	Х	Χ.		Х	X_	Х	Х				
5 Environmental data input	Ĺ	L_	L		X	Х			X	X		Ĺ_	Х	X.	_				-
6 Data analysis			L				х	Х	_		Х	X_		L_	Х	X	X_		
7 Quarterly report	Х	x	X	Х	х	х	х	Х	Х	X	Х	X.	X	X_	Х	X	Х	X	
8 Annual report and presentation		1	L	Х		1		Х	_			Х	L	L.					
9 Final report and presentation	Ľ									1			L					Х	X.
10 Project administration	X	Х	X	X	Χ	Х	X	χ	Х	Χ	X_	Χ	Х	Х	X.	Х	Х	Х	Х

Cosumnes River system. The Sacramento River Watershed Program has funded macroinvertebrate and some algae sampling efforts by California Department of Fish and Game, USGS, and California Department of Water Resources but the data has not been analyzed in any detail. Other data sets may also exist that we are not aware of at this time.

The remaining tasks are all inseparable from one another. There is a considerable time lag between collection of samples and environmental data and products because of processing time for macroinvertebrate samples and data entry time for habitat data (Table 1). Completion of satisfactory annual reports would serve as appropriate milestones for continued funding. Partial funding would require fewer years of sampling, reduction of sites, or reductions of replicate sampling at some or all sites. Sampling could be limited to one or two years. Sampling effort could be limited to specific sub-areas of the proposed study area (Fig. 3). For example, effort could be focused on the Sacramento River drainage, the San Joaquin River drainage, or on CALFED priority streams. One cost effective option would be to focus on the San Joaquin River drainage in coordination with the USGS NAWQA study. We could also collect only one or two samples at each site rather than three; however, this might limit the scientific defensibility of collecting only one sample as part of a cost-effective monitoring program. We are certainly willing to consider alternative designs that better fit CALFED needs at reduced cost.

C2g. Feasibility: The major personnel for this project have been responsible for projects of similar scope. Citations for methods were already provided in the Approach section (C2b). All of the methods proposed have already been used in other studies of California streams. Sampling is scheduled for summer months to minimize effects of variations in weather and flow regime. No special permits are required for this project other than a California Scientific Collecting Permit. Access issues were addressed in section C2f.

D. Applicability to CALFED ERP Goals and Implementation Plan and CVPIA Priorities

D1. ERP Goals and CVPIA priorities: Because stream macroinvertebrates are an important part of the stream food web in addition to being good indicators of environmental conditions, this

project addresses several of the CALFED goals and Central Valley Project Improvement Act (CVPIA) priorities.

Goal 1- At-Risk Species: The purpose of this proposal is to provide a tool to assess, using resident organisms, the effects of flow manipulation and other restoration actions on the ecological condition of streams supporting or potentially supporting various species of at-risk anadromous fish species (salmon and steelhead). Macroinvertebrates better reflect year-round stream conditions because their entire life cycle is dependent on the stream environment. This more accurate assessment of stream condition will help identify the importance of stream conditions in limiting anadromous species and also help identify situations where actions beneficial to one species, such as chinook salmon, may have detrimental effects on summer resident species such as steelhead or resident native stream fishes. Macroinvertebrates also serve as a food source for all species of fish, including at-risk anadromous fishes, so maintaining healthy populations of macroinvertebrates has a direct benefit as well as serving as environmental indicators.

Goal 2-Ecosystem Processes and Biotic Communities: As already explained, macroinvertebrates are responsive to environmental conditions and can serve as important indicators of ecosystem function. They are also directly important as part of the trophic structure of stream ecosystems.

Goal 4-Habitat types: Because macroinvertebrate communities reflect environmental conditions and ecological function they may be useful as indicators of the presence or condition of various stream types. As a simple example, different benthic macroinvertebrate communities are expected in coldwater and warmwater streams.

The project relates directly to the biological principles of CVPIA. Benthic macroinvertebrates are both an important part of the aquatic ecosystems supporting anadromous fish and a general indicator of the general condition of those ecosystems.

E. Qualifications:

Larry R. Brown (B.S., 1978, University of California-Irvine; M.S. and Ph.D., 1982 and 1988, University of California-Davis) is a Biologist with the U.S. Geological Survey, Water Resources Division. Dr. Brown has 20 years experience working in California aquatic systems, primarily streams and rivers. He is a recognized expert on the ecology of California stream fishes and, since starting work with the USGS, has gained considerable experience with benthic macroinvertebrates and algae. Dr. Brown is presently responsible for the ecological studies associated with three NAWQA study units in California. Dr. Brown has also been involved in several CALFED activities in recent years including membership on the Comprehensive Monitoring, Assessment, and Research Program (CMARP) Steering Committee, Chair of the CMARP Resident Fishes work group, preparation of the Ecosystem Restoration Program section of the CMARP report (CMARP 1999), author/editor of the Tidal Wetlands Whitepaper (in prep.), and Program Co-Chair of the 2000 CALFED Science Conference. Recent relevant publications include:

- Brown, L.R. and J.T. May. Macroinvertebrate assemblages on woody debris and their relations with environmental variables in the lower Sacramento and San Joaquin river drainages, California. Environmental Monitoring and Assessment. In press.
- Brown, L.R. 2000. Fish communities and their associations with environmental variables, lower San Joaquin River drainage, California. Environmental Biology of Fishes 57:251-269.
- Brown, L.R., C.R. Kratzer, and N.M. Dubrovsky. 1999. Integrating chemical, water quality, habitat, and fish assemblage data from the San Joaquin River drainage, California. Pp. 25-62, in, C. Smith and K. Scow (eds.), Integrated assessment of ecosystem health, CRC Press, Boca Raton, FL.

Jason T. May (B.S., 1997, University of California-Davis) is a biologist with the U.S. Geological Survey, Water Resources Division, where he works through a contract managed by the California State University Foundation-Sacramento. Mr. May has worked for the USGS for the last four years where he has gained considerable experience in aquatic ecology. His major responsibilities have been for the planning and conduct of field work associated with the three USGS NAWQA studies in California, especially the recently completed NAWQA in the Sacramento River Basin, and for several studies of mercury contamination in the Sacramento River drainage. Mr. May served on the resident fishes and macroinvertebrate CMARP work teams. Mr. May has extensive experience using the analytical/statistical techniques to be used in this study. Mr. May has authored or co-authored several articles and reports:

- Brown, L.R. and J.T. May. Macroinvertebrate assemblages on woody debris and their relations with environmental variables in the lower Sacramento and San Joaquin river drainages, California. Environmental Monitoring and Assessment. In press.
- May, J.T. and L.R. Brown. Fish community structure in relation to environmental variation within the Sacramento River Basin and implications for the greater Central Valley. **U.S.** Geological Survey Open-file Report. This report is awaiting Regional approval and will also be submitted to a scientific journal for publication.
- Brown, L.R. and T.J. May. Benthic macroinvertebrate assemblages and their relations with environmental variables in the Sacramento and San Joaquin river drainages, California, 1993-1997. Water-Resources Investigations Report. This report is awaiting Regional approval.

F. Cost

- **F1. Budget:** The detailed annual budget (Table 2) and summary budget (Table 3) appear at the end of the proposal. The table footnotes provide general descriptions for each cost category and breakdowns of salary and overhead rates. As explained in section C2f, the extended time frame of the budget is required because of time lags involved in having the macroinvertebrate samples processed, habitat data entry completed, and the timing of sampling activities at the end of the fiscal year. The total funding request is for \$2,860,496 over five years, with most funds expended for sampling and processing activities in the first three years.
- **F2. Cost-Sharing:** No firm commitments for cost-sharing have been identified for this project, except for support of site identification and obtaining permission to access sites. However, the

work schedule for this project coincides with the work schedule for the NAWQA study of the San Joaquin-Tulare Basin study area, which includes the San Joaquin River drainage. Based on past funding levels for NAWQA studies we anticipate on the order of \$850,000 will be spent on ecological studies over the approximately 5 years of intensive activity in the basin, including salary, laboratory, and report preparation costs. Larry Brown will be responsible for the design of the ecological studies and he and Jason May will be responsible for the training and supervision of field crews to do the work. We anticipate significant co-location of sites in the San Joaquin River drainage and there may be some savings in field costs if sampling activities can be coordinated. At a minimum, the NAWQA project will provide data on other biological communities (algae and fish) and other methods for collecting stream macroinvertebrates for some of the same streams (probably San Joaquin, Stanislaus, Tuolumne, and Merced rivers) proposed for this study. Analyses of these data will help determine the relative effectiveness of monitoring macroinvertebrates in comparison to monitoring of algae or resident fishes and the comparability of NAWQA macroinvertebrate sampling methods with the methods proposed in this study. The latter would be particularly important in determining whether data collected during previous (and future) NAWQA studies in the Sacramento and San Joaquin river drainages could be integrated into a CALFED database of macroinvertebrate data.

G. Local Involvement: Because the project mainly involves field sampling rather than construction or land purchase, it does not directly affect members of the public. We will maintain contact with private landowners to maintain access to sites. We also anticipate maintaining contact with organized watershed groups, such as the Sacramento River Watershed Program and the Deer Creek Conservancy, interagency groups, such as the Interagency Ecological Program (IEP), and other organized groups interested in our activities. **Or** main method of communication will be presentations at scientific, watershed, and other group meetings.

H. Compliance with Standard Terms and Conditions: The applicant will comply with the Standard Terms and Conditions.

I. Literature cited:

Barbour, M.T., J. Gerritsen, B.D. Snyder, and J.B. Stribling. 1999. Rapid bioassessment protocols for use in streams and wadeable rivers: periphyton, benthic macroinvertebrates, and fish, second edition. EPA 841-B-99-002. U.S. Environmental Protection Agency, Office of Water, Washington, D.C.

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Marchetti, M.P. and P.B. Moyle. In press. Keeping alien fishes at bay: effects of flow regime and habitat structure on fish assemblages in a regulated California stream. Ecological Applications. Ward, J.V. and J.A. Stanford. 1983. The serial discontinuity concept of lotic ecosystems. Pages 29-42, *in*, T.D. Fontaine and S.M. Bartells (eds.), Dynamics of Lotic Ecosystems. Ann Arbor Science. Ann Arbor.

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Table 2.	Annual bu	udget by year a	nd cost cat	egory.				Miscellaneous			
	,			[Direct Costs	Overhead on non- analytical costs	Overhead on analytical	
			Direct Labor	Direct Salary and		Service	Supplies &	(Publication costs, vehicle	(43% <i>of</i> total	costs (21% of	
Year	Task	Personnel	(Days)	Benefits	Travel	Contracts ¹	Expend- ables	rental etc.)2	cost) ³	total.cost)4	Total Cost
Year 1	Task 1: 0	Compile, analy	ze, and re	port on existi	ng data s	ets					
10011	Tuok II (Larry Brown	30	\$12,179					\$9,075		\$21,254
		Jason May	90	\$14,801					\$1 1,016		\$25,81
		Database									
	-	manager	20	\$5,014					\$3 , 732		\$8,74
								\$42,59	\$31,702		\$74,296
	Task 2: F	ield activities	and samp	le processin	g						410.00
		Larry Brown	15	\$6,089					\$4,532		\$10,62
		Jason May	45	\$7,400					\$5,508		\$12,900
		Field crew (9)	705	\$81,075					\$60,073		\$141,41
				,	\$51,450		\$27,000				\$143,11
	Equipme	nt (3 peristalic p	oumps)				\$3,000		\$2,233		\$5,23
	Nutrient a	and major ion a	nalyses			\$31,892				\$8,642	\$40,53
		ng macroinverte				\$235,200				\$63,732	\$298,93
	Project r	nanagement (i	includes o								404.04
		Larry Brown	30	\$12,179		L			\$9,065		\$21,24
		1			\$1,050				\$782		\$1,83
	Continge	ncy ⁵						\$26,726			\$46,61
	st Year 1			\$138,737	\$52,500	\$267,092	\$30,000	\$72,920	\$218,679	\$72,374	\$852,57
Year 2	Task 1: I	Field activities	and samp	le processin	g						611 16
		Larry Brown	15	\$6,400					\$4,763		\$11,16 \$13,63
		Jason May _	45	\$7,814					\$5,816		\$148,48
		Field crew (9)	705	\$85,129					\$63,360		\$122,58
					\$53,900		\$12,600	\$3,780	\$52,309		\$42,56
		and major lon a		L		\$33,487			ļ	\$9,074	\$313,87
		ng macroinverte				\$246,960				\$66,919	\$313,07
	Task 2: 1	Data analysis a						<u> </u>	40.00	 	600.00
	1	Larry Brown	30	\$12,800			1		\$9,527		\$22,32

_										400 705
	Jason May	60	\$13,590					\$10,115		\$23,705
	Database				.		. 1		1	40.407
	manager	20	\$5,267					\$3,920		\$9,187
	Tech. (data				1	1			1	000 404
	input etc.)	135	\$16,301					\$12,133		\$28,434
							\$43,589	\$32,443		\$76,032
	Project management (includes o	utreach)							****
	Larry Brown	30	\$12,800					\$9,527		\$22,327
				\$1,100				\$819		\$1,919
	Contingency						\$27,397	\$20,391		\$47,788
Total Co	st Year 2:		\$160,101	\$55,000	\$280,447	\$12,600	\$74,766	\$225,123	\$75,993	\$884,030
1	Task 1: Field activities	and samp		g						
	Larry Brown	15	\$6,728					\$5,008		\$11,736
	Jason May	45	\$8,228					\$6,124		\$14,352
	Field crew (9)	705	\$89,993					\$66,981		\$156,974
	1 1212 01011 (0)		400,000	\$56,350		\$13,200	\$3,969	\$54,719		\$128,238
	Nutrient and major ion a	nalvees		400,000	\$35,161				\$9,528	\$44,689
	Processing macroinvert		nies		\$259,308				\$70,265	\$329,573
	Task 2: Data analysis				1,200,000					
	Larry Brown	30	\$13,455					\$10,014		\$23,469
	Jason May	60	\$10,971					\$8,166		\$19,137
	Database		0.0,07.1							
	manager	20	\$5,543		i	1		\$4,126		\$9,669
	Tech. (data		\$0,010							
	input etc.)	135	\$17,233		1	1		\$12,826		\$30,059
	Imput oto./	. 100	417,000				\$45,868	\$34,139		\$80,007
	Project management (includes o	utreach)							
	Larry Brown	30						\$10,014		\$23,469
	Larry Blown		\$10,400	\$1,150				\$856		\$2,006
	Contingency			\$1,100			\$28,801	\$21,436		\$50,237
Tatal Ca	st Year 3:		\$165,606	\$57,500	\$294,469	\$13,200	\$78,638	\$234,409	\$79,793	\$923,615
	Task 1: Data analysis	and final re		Ψ07,000	ΨE5-1,-100	\$10E00	7. 5,255			
rear 4	Larry Brown	20						\$7,019		\$16,449
	Jason May	40						\$5,718		\$13,400
	Database	40	\$7,002					427		
	manager	20	\$5,819					\$4,331		\$10,150

	st Year 5:		\$40,567	\$630	\$0	\$0	\$9,619	\$37,823 \$764,324	\$228,160	\$88,63
	Contingency				- 1		\$2,419	\$1,800		\$4,21
				\$630				\$469		\$1,09
	Larry Brown	15	\$7,435					\$5,534		\$12,96
	Project management (includes o	utreach)							
							\$7,200	\$5,359		\$12,55
	Database manager	20	\$6,118					\$4,554		\$10,67
	Jason May	60	\$12,144					\$9,039		\$21,18
	Larry Brown	30	\$14,870					\$11,068		\$25,93
Year 5	Task 1: Data analysis	and final re								
	st Year 4:		\$54,550	\$1,200	\$0	\$0	\$7,563	\$48,290		\$111,64
	Contingency						\$3,048	\$2,269		\$5,31
				\$1,200				\$856		\$2,09
	Larry Brown	30	\$13,455					\$11,218		\$24,67
	Project management (includes o	utreach)				-			
	,						\$4,515	\$3,360		\$7,87
	input etc.)	135	\$18,164					\$13,519		\$31,68
	Tech. (data	ł i		1					1	

Annual salaries are not listed but can be obtained by dividing Direct Salary and Benefits by the number of days shown and multiplying by 210 days. This value will also include a 15 percent assessment for leave and holiday benefits that should be deducted to obtained the actual salary of the position. All salaries are estimated based on a 5 percent inflation rate from the base salary expected in tederal fiscal year 2002.

² Travel for field sampling is based on estimates of days that sampling crews will spend greater than about 50 miles from Sacramento. Costs are estimated from standard federal per diem rates for the cities and counties where crews are likely to stay. The travel associated with adminstrative duties is for local and out-of-state travel for presentation of results at national and local meetings and other meeting attendance as needed.

³ All analytical work will be done at the U.S. Geological Survey (USGS) National Water Quality Laboratory.

⁴ This item includes miscellaneous equipment required for habitat measurements and expendable items such as sample bottles and sample preservatives. These costs are highest in the first year because of purchase of items expected to last for the duration of the project.

⁵ Miscellaneous direct costs include vehicle rental, editorial services (includes editor and illustrator time charged as a flat rate of 8% of net project cost), and publication costs (page charges and reprint costs associated with publication in scientifi.

Gusca overhead is a combination of National (WOTSC) and District (DOTSC) costs. Simplistically, the WOTSC percentage is based on Headquarters and Regional Office expenditures divided by the entire anticipated USGS funding. The DOTSC percentage is based on each District's common services expenditures divided by the District's anticipated funding. These percentages are then applied separately to the net expenses of a proposal. WOTSC consists of labor and non-labor expenses for Headquarters and Regional Office staffs, along with general expenses such as (but not limited to) rent, communications, and database management. DOTSC consists of labor and non-labor expenses at the District level for Management and Services support staff (technical, administrative, computer, and database management), and general District expenses such as (but not limited to) rent, communications, and database management.

Overhead for the National Water Quality Laboratory is calculated in a similar manner to WOTSC and DOTSC for costs of maintining the National Water Quality Laboratory.

The contingency expense (5% of net costs) is meant to cover unexpected changes in costs or overhead rates.

able 3.	Summarv	budget by task	, year and	cost category.				N. C War			
abio oi	,							Miscellaneous	Overhead on non-	Overhead on	
- 1							O	Direct Costs (Publication	analytical costs	analytical	
		l i	Direct	Direct	.		Supplies &	costs, vehicle	(43% of total	costs (21% of	
			Labor	Salary and	.	Service	Expend-		cost) ⁶	total cost)7	Total Cost
Year	Task	Personnel	(Days)	Benefits ¹	Travei ²	Contracts ³	ables4	rental etc.)5	COST/	toter occiy	, - 1
								l	1	\ \ \	
Year 1	Task 1: (Compile, analy	ze, and re	port on existi	ng data s	ets\$0	\$0	\$42,594	\$55,525	\$0	\$130,11
				\$31,994	\$0	\$0	1 00	942,004	727		
	Task 2:	Field activities	and samp	le processing	g	4007.000	\$30,000	\$3,600	\$133,415	\$72,374	\$652,76
				\$94,564	\$51,450	\$267,092	\$30,000	\$3,000	41001110		
	Project i	management (includes o	utreach)	41.055		\$0	\$0	\$9,847	\$0	\$23,07
				\$12,179	\$1,050	\$0		\$26,726			\$46,61
	Continge	ency ⁸			****	4007.000	800,000				\$852,57
otal Co	st Year 1			\$138,737	\$52,500	\$267,092	\$30,000	\$12,320	φ <u>υ</u> 151070		
Year 2	Task 1:	Field activities	and samp	ole processin	g		040.000	\$3,780	\$126,248	\$75,993	\$652,3
				\$99,343	\$53,900	\$280,447	\$12,600	\$3,700	ψ123,210		
	Task 2:	Data analysis	and annua	l report			ļ	\$43,589	\$68,138	\$0	\$159,68
				\$47,958	\$0	\$0	\$0	\$43,000	φοσίτου		
	Project	management (includes o	utreach)				so so	\$10,346	\$0	\$24,24
	1			\$12,800	\$1,100	\$0	\$0				\$47,78
	Continge	ency						\$27,397			
Total Co	st Year 2	2:		\$160,101	\$55,000	\$280,447	\$12,600	\$74,760	9220,120	470,000	_
Year 3	Task 1:	Field activities	and sam	ple processin	g		410.00	40.00	\$132,832	\$79,793	\$685,50
				\$104,949	\$56,350	\$294,469	\$13,200	\$3,96	φ132,032	4,0,700	+===
	Task 2:	Data analysis	and annua	al report		L.—		0.00	\$69,27	\$0	\$162,3
				\$47,202	\$0	\$(\$(\$45,86	φυσ,27	+	
	Project	management	includes of	outreach)				n s	\$10,87	\$0	\$25,4
	1	Τ		\$13,455	\$1,150	\$(\$	·		-	\$50,2
	Conting	ency		1			1 1 2 2 2 2	\$28,80			
Total Co	ost Year	3:		\$165,606	\$57,500	\$294,46	9 \$13,20	0 \$78,63	8 \$234,40	φ10[10t	4123/5
Year 4	Task 1:	Data analysis	and final	report			_	64.54	5 \$33,94	7 \$0	\$79,5
		1		\$41,095	\$0	\$	0 \$	0 \$4,51	0 400,04	<u></u>	1 - 1
	Project	management	(includes	outreach)					0 \$12,07	4 \$0	\$26,7
-	1	T		\$13,455	\$1,200	\$	0 \$	0	φ12,07	٠, ,	4==10

Contingency					\$3,048	\$2,269		\$5,317
Total Cost Year 4:	\$54,550	\$1,200	\$0	\$0	\$7,563	\$48,290		\$111,640
Year 5 Task 1: Data analysis and f	inal report	7,	-					
	\$33,132	\$0	\$0	\$0	\$7,200	\$30,020	\$0	\$70,352
Project management (inclu	des outreach)							
	\$7,435	\$630	\$0	\$0	\$0	\$6,003	\$0	\$14,068
Contingency	· -				\$2,419	\$1,800		\$4,219
Total Cost Year 5:	\$40,567	\$630	\$0	\$0	\$9,619	\$37,823		\$88,639
Total Cost All Years:	\$559,561	\$166,830	\$842,008	\$55,800	\$243,506	\$764,324	\$228,160	\$2,860,496
	1							

¹ Annual salaries are not listed but can be obtained by dividing Direct Salary and Benefits by the number of days shown and multiplying by 210 days. This value will also include a 15 percent assessment for leave and holiday benefits that should be deducted to obtained the actual salary of the position. All salaries are estimated based on a 5 percent inflation rate from the base salary expected in federal fiscal year 2002.

Travel for field sampling is based on estimates of days that sampling crews will spend greater than about 50 miles from Sacramento. Costs are estimated from standard federal per diem rates for the cities and counties where crews are likely to stay. The travel associated with adminstrative duties is for local ant out-of-state travel for presentation of results at national and local meetings and other meeting attendance as needed.

All analytical work will be done at the U.S. Geological Survey (USGS) National Water Quality Laboratory.

This item includes miscellaneous equipment required for habitat measurements and expendable items such as sample bottles and sample preservatives.

These costs are highest in the first year because of purchase of items expected to last for the duration of the project.

⁵ Miscellaneous direct costs include vehicle rental, editorial services (includes editor and illustrator time charged as a flat rate of 8% of net project cost), and publication costs (page charges and reprint costs associated with publication in scientifi

Regional Office expenditures divided by the entire anticipated USGS funding. The DOTSC percentage is based on each District's common services expenditures divided by the District's anticipated funding. These percentages are then applied separately to the net expenses of a proposal. WOTSC consists of labor and non-labor expenses for Headquarters and Regional Office staffs, along with general expenses such as (but not limited to) rent, communications, and database management. DOTSC consists of labor and non-labor expenses at the District level for Management and Services support staff (technical, administrative, computer, and database management), and general District expenses such as (but not limited to) rent, communications, and database management.

Quality Laboratory.

The contingency expense (5% of net costs) is meant to cover unexpected changes in costs or overhead rates.

Figure 1. Conceptual model. Anadromous fishes are not the best choice to assess the ecological consequences of flow manipulations *or* other restoration actions because most individuals are present during only part of the year (see life cycle below). In contrast, stream macroinvertebrates complete their entire life cycle (represented by circles with arrowheads) in **a** limited reach of stream. Community structure varies among reaches in response to local and upstream environmental conditions.

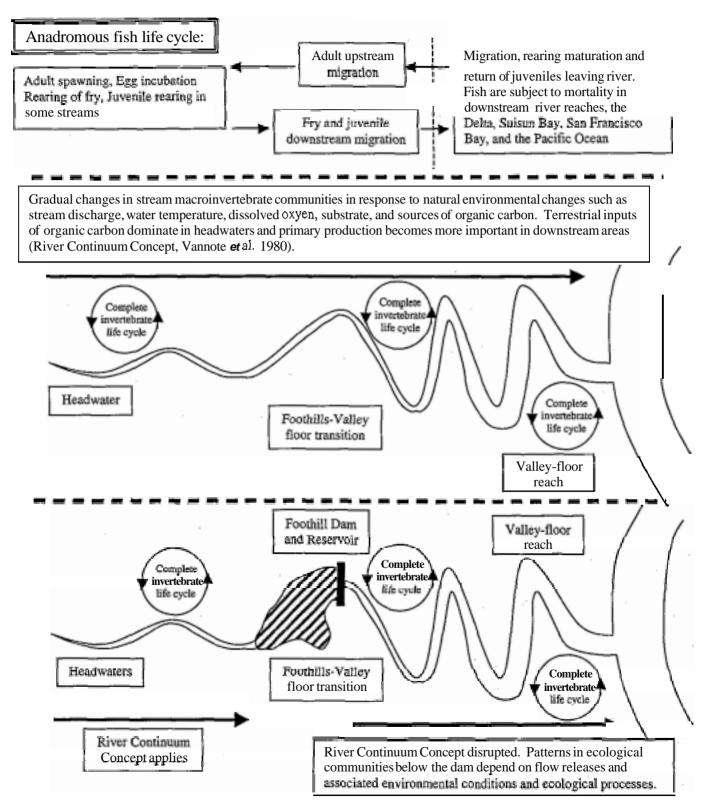
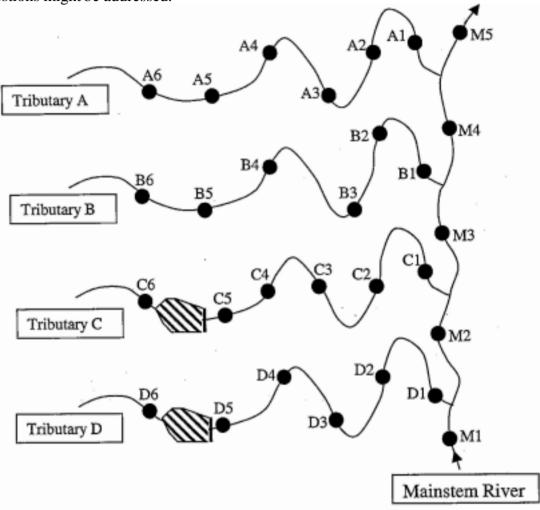


Figure 2. Conceptual representation of the proposed study design and how some specific questions might be addressed.



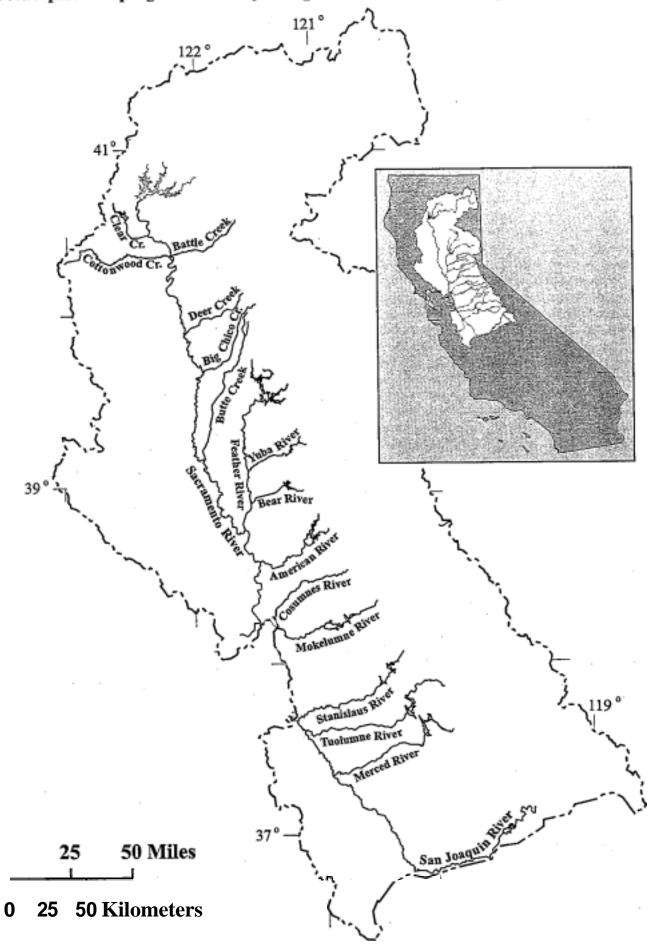
Question: What differences in benthic macroinvertebrate communities are there between dammed and free-flowing streams?

- Compare differences between A5-A6 and B5-B6 with differences between C5-C6 and D5-D6.
- Compare gradients in communities and environmental conditions from A1-A5 and B1-B5 with those from C1-C5 and D1-D5.

Question: Does an adaptive management experiment of several years duration on stream C result in changes in ecological conditions in the stream, as measured by macroinvertebrate communities?

- Compare gradients in macroinvertebrate communities and environmental conditions from C1 to C5
 after implementation of the experiment with gradients observed in one or more years of preexperiment data.
- Compare gradients in communities and environmental conditions from A1-A5, B1-B5, and D1-D5 over the same time assessed for C1-C5 to address the effects of natural annual variability.
- Assuming that the free-flowing stream A represents the target for stream restoration actions, determine if the gradients in macroinvertebrate communities and environmental conditions in stream C are more similar to those in stream A after the adaptive management experiment has been implemented.

Figure 3. Proposed sampling locations. Only the segments of streams to be sampled are shown.

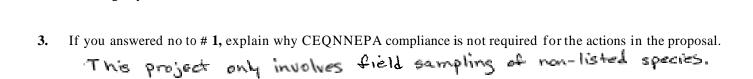


Environmental Compliance Checklist

Lead Agency

All applicants must fill out this Environmental Compliance Checklist. Applications must contain answers to the following questions to be responsive and to be considered for funding <u>Failure to answer these auestions and include them with the application will result in the application being considered nonresponsive and not considered for funding.</u>

1.	Do any of the actions included in the propos (CEQA), the National Environmental Policy	al require compliance with either the California Environmental Quality Act (NEPA), or both?
	YES	X NO
2.	If you answered yes to # 1, identify the lead	governmental agency for CEQNNEPA compliance



- **4.** If CEQNNEPA compliance is required, describe bow the project **will** comply with either or both of these laws. Describe where the project is in the compliance process and the expected date **of** completion.
- **5.** Will the applicant require access across public **or** private properly that the applicant does not own to accomplish the activities in the proposal?

If yes, the applicant must attach written permission for access from the relevant property owner(s). Failure to include written permission for access may result in disqualification of the proposal during the review process. Research and monitoring field projects for which specific field locations have npt been identified will be required to provide access needs and permission for access with 30 days of notification of approval.

Specific field locations will be identified and permission obtained for access to private property after notification of approval.

all boxes that apply.	provals may be required for the activities contained in your proposal.	
LOCAL		
Conditional use permit Variance	_	
	_	
Subdivision Map Act approval	_	
Grading permit	_	
General plan amendment	_	
Specific plan approval	_	
Rezone	_	
Williamson Act Contract		
cancellation	_	
Other		
(pleasespecify)	X	
None required	~	
CESA Compliance	\longrightarrow (CDFG)	
Streambed alteration permit	\longrightarrow (CDFG)	
CWA § 401 certification	(RWQCB)	
Coastal development permit	(Coastal Commission/BCDC)	
Reclamation Board aooroval	_	
Notification	(DPC, BCDC)	
Other <u>California</u> Scientific	Collecting Permit	
(please specify)		
None required	_	
<u>FEDERAL</u>		
ESA Consultation	(USFWS)	
Rivers & Harbors Act permit	(ACOE)	
CWA § 404 permit	(ACOE)	
Other	(1001)	
@lease specify)		
None required	X	

DPC = Delta Protection Commission
CWA = Clean Water Act
CESA = California Endangered Species Act
USFWS = U.S. Fish and Wildlife Service
ACOE = U.S. Army Corps of Engineers

6.

ESA = Endangered Species Act
CDFG = California Department of Fish and Game
RWQCB = Regional Water Quality Control Board
BCDC= Bay Conservation and Development Comm.

Land Use Checklist

All applicants must fill out this Land Use Checklist for their proposal. Applications must contain answers to the following questions to be responsive and to be considered for funding. Failure to answer these questions and include them with the application will result in the application being considered nonresponsive and not considered for funding.

1.			the land(i.e. grading, planting vegetation, or breech cement of land in a wildlife refuge)?	ing levees)
	VEG		$\frac{X}{NO}$	
	YES		NU	
2.	If NO to # 1, explain what type of a research/monitoring		the proposal (i.e., research only, planning only).	
3.	If YES to # 1, what is the proposed	land use change or res	triction under the proposal?	
4.	If YES to # 1, is the land currently	under a Williamson A o	et contract?	
	YES		NO	
5.	If YES to # 1, answer the following	:		
	Current land use Current zoning			
	Current general plan designation			
6.	If YES to #1, is the land classified Department of Conservation Import		rmland of Statewide Importance or Unique Farmla	nd on the
	YES	NO	DON'T KNOW	
7.	If YES to # 1 , how many acres of la	and will be subject to p	hysical change or land use restrictions under the pr	oposal?
8.	If YES to # 1, is the property curren	ntly being commercially	y farmed or graæď?	
	YES		NO	
9.	If YES to #8, what are		f employees/acre	
		the total num	ber of employees	

10.	Will the applicant acquire any interest in land under the proposa	l (fee title or a conservation easement)?
	YES	X NO
11.	What entity/organization will hold the interest?	
12.	If YES to # 10, answer the following:	
	Total number of acres to be acquired under proposal Number of acres to be acquired in fee Number of acres to be subject to conservation easement	
13.	For all proposals involving physical changes to the land or restriction will:	ction in land use, describe what entity or organization
	manage the property	
	provide operations and maintenance services	
	conduct monitoring	
	For land acquisitions (fee title or easements), will existing water to YES	NO
15.	Does the applicant propose any modifications to the water right of	or change in the delivery of the water?
	YES	NO
16.	If YES to # 15, describe	



U.S. GEOLOGICAL SURVEY

Placer Hall, 6000 J Street Sacramento, California (916) 278-3098 FAX: (916) 278-3071 lrbrown@usgs.gov

Amador County County Courthouse 108 Court Street Jackson, CA 95642-2308

15 May 2000

Dear Ms. or Sir:

This letter is to inform your county that I have submitted a proposal to the CALFED Program in response to the Ecosystem Restoration Program, 2001 Project Solicitation Package. The proposal is titled, "Using Benthic Macroinvertebrate Communities for Assessment of Adaptive Management Actions in Streams Supporting Anadromous Salmonids." This proposal is for researchlmonitoring only and, if funded, may include one or more sampling sites on streams or rivers in your county. If the proposal is selected for funding, I will notify you by a second letter, which will include a copy of the proposal. After that I will be selecting sampling sites for the study. If any sampling sites occur in your county I will contact the appropriate public agencies or private landowners to obtain permission to access the site before any sampling takes place. Please feel free to contact me if you would like any additional information at this time.

Sincerely,

Larry R. Brown

U.S.Geological Survey

Placer Hall 6000 J Street

Sacramento, CA 95819-6129

Lany R Brown

Phone: 916-278-3098 FAX: 916-278-3071



U.S. GEOLOGICAL SURVEY

Placer Hall, 6000 J Street Sacramento, California (916) 278-3098 FAX: (916) 278-3071 lrbrown@usgs.gov

Butte County 196 Memorial Way P.O. Box 3700 Chico, CA 95926

15 May 2000

Dear Ms. or Sir:

This letter is to inform your county that I have submitted a proposal to the CALFED Program in response to the Ecosystem Restoration Program, 2001 Project Solicitation Package. The proposal is titled, "Using Benthic Macroinvertebrate Communities for Assessment of Adaptive Management Actions in Streams Supporting Anadromous Salmonids." This proposal is for research/monitoring only and, if funded, may include one or more sampling sites on streams or rivers in your county. If the proposal is selected for funding, I will notify you by a second letter, which will include a copy of the proposal. After that I will be selecting sampling sites for the study. If any sampling sites occur in your county I will contact the appropriate public agencies or private landowners to obtain permission to access the site before any sampling takes place. Please feel free to contact me if you would like any additional information at this time.

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U.S. GEOLOGICAL SURVEY

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Calaveras County 891 Mountain Ranch Road San Andreas, CA 95249

15 May 2000

Dear Ms. or Sir:

This letter is to inform your county that I have submitted *a* proposal to the CALFED Program in response to the Ecosystem Restoration Program, 2001 Project Solicitation Package. The proposal is titled, "Using Benthic Macroinvertebrate Communities for Assessment of Adaptive Management Actions in Streams Supporting Anadromous Salmonids." This proposal is for research/monitoring only and, if funded, may include one or more sampling sites on streams or rivers in your county. If the proposal is selected for funding, I will notify you by a second letter, which will include a copy of the proposal. After that I will be selecting sampling sites for the study. If any sampling sites occur in your county I will contact the appropriate public agencies or private landowners to obtain permission to access the site before any sampling takes place. Please feel free to contact me if you would like any additional information at this time.

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Placer Hall, 6000 J Street Sacramento, California (916) 278-3098 FAX: (916) 278-3071 lrbrown@usgs.gov

Colusa County County Courthouse 546 Jay Street Colusa, CA 95932-2443

15 May 2000

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This letter is to inform your county that I have submitted a proposal to the CALFED Program in response to the Ecosystem Restoration Program, 2001 Project Solicitation Package. The proposal is titled, "Using Benthic Macroinvertebrate Communities for Assessment of Adaptive Management Actions in Streams Supporting Anadromous Salmonids." This proposal is for research/monitoring only and, if funded, may include one or more sampling sites on streams or rivers in your county. If the proposal is selected for funding, I will notify you by a second letter, which will include a copy of the proposal. After that I will be selecting sampling sites for the study. If any sampling sites occur in your county I will contact *the* appropriate public agencies or private landowners to obtain permission to access the site before any sampling takes place. Please feel free to contact me if you would like any additional information at this time.

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U.S. GEOLOGICAL SURVEY

Placer Hall, 6000 J Street Sacramento, California (916) 278-3098 FAX: (916) 278-3071 lrbrown@usgs.gov

El Dorado County 330 Fair Lane Placerville, CA 95667

15 May 2000

Dear Ms. or Sir:

This letter is to inform your county that I have submitted a proposal to the CALFED Program in response to the Ecosystem Restoration Program, 2001 Project Solicitation Package. The proposal is titled, "Using Benthic Macroinvertebrate Communities for Assessment of Adaptive Management Actions in Streams Supporting Anadromous Salmonids." This proposal is for researchlmonitoring only and, if funded, may include one or more sampling sites on streams or rivers in your county. If the proposal is selected for funding, I will notify you by a second letter, which will include a copy of the proposal. After that I will be selecting sampling sites for the study. If any sampling sites occur in your county I will contact the appropriate public agencies or private landowners to obtain permission to access the site before any sampling takes place. Please feel free to contact me if you would like any additional information at this time.

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Placer Hall, 6000 J Street Sacramento, California (916) 278-3098 FAX: (916) 278-3071 lrbrown@usgs.gov

Fresno County 2281 Tulare St., Room 301 Fresno, CA 93721

15 May 2000

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Placer Hall

6000 J Street

Sacramento, CA 95819-6129

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FAX: 916-278-3071



U.S. GEOLOGICAL SURVEY

Placer Hall, 6000 J Street Sacramento, California (916) 278-3098 FAX: (916) 278-3071 lrbrown@usgs.gov

Glenn County Board of Supervisors P. *O*.Box 391,526 West Sycamore Street Willows, CA 95988

15 May 2000

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This letter is to inform your county that I have submitted a proposal to the CALFED Program in response to the Ecosystem Restoration Program, 2001 Project Solicitation Package. The proposal is titled, "Using Benthic Macroinvertebrate Communities for Assessment of Adaptive Management Actions in Streams Supporting Anadromous Salmonids." This proposal is for researchlmonitoring only and, if funded, may include one or more sampling sites on streams or rivers in your county. If the proposal is selected for funding, I'willnotify you by a second letter, which will include a copy of the proposal. After that I will be selecting sampling sites for the study. If any sampling sites occur in your county I will contact the appropriate public agencies or private landowners to obtain permission to access the site before any sampling takes place. Please feel free to contact me if you would like any additional information at this time.

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Mariposa County County Courthouse P.O. Box 784 Mariposa, CA 95338-0784

15 May 2000

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Lawy R. Burn

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U.S. GEOLOGICAL SURVEY

Placer Hall, 6000 J Street Sacramento, California (916) 278-3098 FAX: (916) 278-3071 lrbrown@usgs.gov

Madera County County Courthouse 209 W. Yosemite Avenue Madera, CA 93637-3534

15 May 2000

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Sacramento, CA 95819-6129

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U.S. GEOLOGICAL SURVEY

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Merced County Board of Supervisors Merced County 2222 M Street Merced, CA 95340

15 May 2000

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U.S. GEOLOGICAL SURVEY

Placer Hall, 6000 J Street Sacramento, California (916) 278-3098 FAX: (916) 278-3071 Irbrown@usgs.gov

Nevada County Nevada County Board of Supervisors Eric Rood Administrative Center 950 Maidu Avenue Nevada City, CA 95959

15 May 2000

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Placer County 175 Fulweiler Ave. Auburn, CA 95603

15 May 2000

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US. GEOLOGICAL SURVEY

Placer Hall, 6000 J Street Sacramento, California (916) 278-3098 FAX: (916) 278-3071 lrbrown@usgs.gov

Sacramento County County of Sacramento 700 H Street Suite 2450 Sacramento, CA 95814

15 May 2000

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Sacramento, CA 95819-6129

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U.S. GEOLOGICAL SURVEY

Placer Hall, 6000 J Street Sacramento, California (916) 278-3098 FAX: (916) 278-3071 lrbrown@usgs.gov

San Joaquin County Board of Supervisors and Clerk of the Board Courthouse, Room 701 222 East Weber Avenue Stockton, CA 95202

15 May 2000

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Placer Hall, 6000 J Street Sacramento, California (916) 278-3098 FAX: (916) 278-3071 lrbrown@usgs.gov

Shasta County Shasta County Board of Supervisors 1815 Yuba St., Suite 1 Redding, CA 96001

15 May 2000

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Placer Hall, 6000 J Street Sacramento, California (916) 278-3098 FAX: (916) 278-3071 lrbrown@usgs.gov

Stanislaus County Board of Supervisors 1010 10th street Suite 6500 Modesto, CA 93554

15 May 2000

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Placer Hall, 6000 J Street Sacramento, California (916) 278-3098 FAX: (916) 278-3071 lrbrown@usgs.gov

Sutter County 1160 Civic Center Blvd., Suite B Yuba City, CA 95993

15 May 2000

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Tehama County County Courthouse P.O. **Box** 250 Red Bluff, CA 96080-0250

15 May 2000

Dear Ms. or Sir:

This letter is to inform your county that I have submitted a proposal to the CALFED . Programin response to the Ecosystem Restoration Program, 2001 Project Solicitation Package. The proposal is titled, "Using Benthic Macroinvertebrate Communities for Assessment of Adaptive Management Actions in Streams Supporting Anadromous Salmonids." This proposal is for researchlmonitoring only and, if funded, may include one or more sampling sites on streams or rivers in your county. If the proposal is selected for funding, I will notify you by a second letter, which will include a copy of the proposal. After that I will be selecting sampling sites for the study. If any sampling sites occur in your county I will contact the appropriate public agencies or private landowners to obtain permission to access the site before any sampling takes place. Please feel free to contact me if you would like any additional information at this time.

Sincerely,

Lany R. Brown

U.S. Geological Survey

Placer Hall

6000 J Street

Sacramento, CA 95819-6129

Larry R Brown

Phone: 916-278-3098 FAX: 916-278-3071



U.S. GEOLOGICAL SURVEY

Placer Hall, 6000 J Street Sacramento, California (916) 278-3098 FAX: (916) 278-3071 Irbrown@usgs.gov

Tuolumne County County Courthouse 2 South Green Street Sonora, CA 95370-4618

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Yolo County 625 Court Street, Room 204 Woodland, CA 95695

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Yuba County County Courthouse 215 Fifth Street Marysville, CA 95901-5737

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